

Original

- 6. Dez. 2013

006114

AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM  
LEHMAN PROGRAM SECURITY

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, HSBC PRIVATE BANK (SUISSE) S.A., Geneva ("Transferor") unconditionally and irrevocably transferred to Roswitha Habig ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 51605), the nominal amounts and relating securities with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM is executed this day of:

Date: 09.12.2013

Date: 04.12.2013

**Transferor**

**Transferee**

HSBC PRIVATE BANK (SUISSE) S.A.

Roswitha Habig

Quai Général - Guisan 2 / P.O. Box 3580

Thomas-Dehler-Strasse 5

CH- 1211 Geneva 3

42579 Heiligenhaus

Deutschland

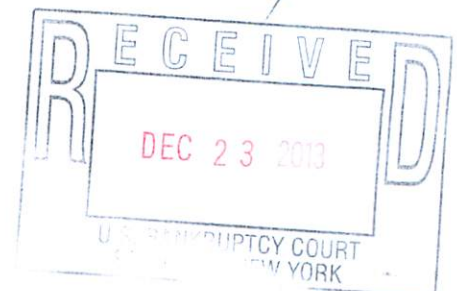
Signature(s): 

Signature(s): 

Signature(s): 

Giorgio Gagliani  
Associate Director

RESTRICTED



Schedule 1

**Lehman Programs Securities Related to Transferred Portion of Claim:**

<b>ISIN</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Currency and nominal amount or Units</b>
ANN521338296	51605	10/28/2009	LEHMAN BROTHERS SECURITIES NV	-74- UNITS

RESTRICTED

Recommended weight

1 = 1.5 kg
2 = 1 kg
3 = 750 g
4 = 5 kg
5 = 10 kg
6 = 15 kg
7 = 20 kg
8 = 25 kg

Italy.

**EXPRESS WORLDWIDE** **DOX** **DHL**

From HSBC PRIVATE BK (Suisse) SA  
ZMIO  
DUAL GENERAL GIPS DAN 2  
1204 GENEVE  
CH SWITZERLAND

Origin  
GVA

To EPIQ BANKRUPTCY SOLUTIONS LLC  
LEHMAN BROTHERS HOLDINGS CLAIM  
757 THIRD AVENUE 3RD FLOOR  
10017 NEW YORK OREGON  
US UNITED STATES OF AMERICA

Phone:

**EXPRESS WORLDWIDE** **DOX** **DHL**

10017 NEW YORK, United States

JFKL **US-ZYP-TS2** -----

Day Time

Account No. 166256485 Date: Pcs / Sght Weight Piece  
Ref code 0.10 / 0.10 KG 1/1

Content description:

WAYBILL48 1028 7370

(2L)US10017 + 42000000

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